Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/790,487 TRANSMITTAL Filing Date 1 March 2004 **FORM** First Named Inventor Kōnig Art Unit (to be used for all correspondence after initial filing) 2611 **Examiner Name** Paula McCray Attorney Docket Number HMM-101 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer Extension of Time Request** Identify below): Copies of cited references. Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks **Certified Copy of Priority** Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Technology Patents and Licensing, Inc. Charles A. Eldering, 39,180 Individual name Signature Date October 7, 2004

### **CERTIFICATE OF TRANSMISSION/MAILING**

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Typed or printed name

Heather J. Roth

Signature

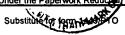
Date October 7, 2004

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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known			
Application Number	10/790,487		
Filing Date	1 March 2004		
First Named Inventor	Richard König		
Art Unit	2611		
Examiner Name	Paula McCray		
Attorney Docket Number	HMM-101		

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AK	<sup>US-</sup> 09/553,637	04-20-2000	Eldering et al	
	AL	<sup>US-</sup> 10/031,268	03-23-2002	Eldering et al	
-	AM	us- 09/568,084	05-01-2000	Eldering	
	AN	<sup>US-</sup> 09/635,539	08-10-2000	Eldering	
	AO	<sup>US-</sup> 10/031,142	11-01-2001	Eldering	
	AP	<sup>US-</sup> 09/694,848	10-20-2000	Hamilton	
	AQ	<sup>US-</sup> 10/049,246	01-20-2002	Hamilton	
	AR	us- 09/635,544	08-10-2000	Eldering et al	
	AS	<sup>US-</sup> 09/712,790	11-14-2000	Eldering et al	
	AT	<sup>US-</sup> 09/658,204	09-08-2000	Eldering	
	ΑU	<sup>US-</sup> 09/680,622	10-06-2000	Flickinger	
	AV	<sup>US-</sup> 10/759,620	01-16-2004	Eldering et al	
		US-			

		FORE	IGN PATENT DOCL	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 "</sup> Number <sup>4 "</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
	BD	WO0036775	06-22-2000	Logan et al		
	BE	WO0133848	05-10-2001	Dimitrova et al		
	BF	WO02102079A1	12-19-2002	Grotuit Media		<u> </u>
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Examiner			Date	
Signature			Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**CERTIFICATE OF MAIL(37 CFR 1.8)** 

**PATENT** 

I hereby that this paper (along with any paper referred to as being attached or enclosed) is being submitted by First Class mail to the U.S. Patent and Trademark Office: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: October 6, 2004

Heather J. Roth

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER	FILING DATE	FIRST NAMED INVENTOR		ATTY. DKT. NO.
10/790,487	1 March 2004	König		HMM-101
TITLE			ART UNIT	EXAMINER
Advertisement Substitu	ution		2611	Paula McCray

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby wish to make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in the examination of the above-identified patent application. Copies of the patent documents are enclosed.

This Information Disclosure Statement is being submitted:

		<del>-</del>
$\boxtimes$	(1)	within three (3) months of the filing date, entry into national phase in an international application or before the mailing date of the First Office Action; or
	(2)	after the period defined in (1) above but before the mailing date of a Final Rejection or Notice of Allowance, and
		Certification is made below, or
		The check, or authorization to charge deposit account no. 501535, in the amount of the fee set forth by 37 C.F.R. §1.17(p) is attached.
	(3)	after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and
		Applicant hereby Petitions the Commissioner to consider the attached references; Certification is made below and the fee is included.

Attached hereto is Form PTO-1449 listing documents believed to be relevant to the above-captioned application. It is respectfully requested that these documents be considered by the Examiner.

The relevance of each non-English document will be discussed as follows:

#### **REMARKS:**

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the Examiner considers otherwise, it is requested that the undersigned be contacted by telephone promptly so that any deficiency can be remedied.

Some of the documents may have markings thereon. No significance is intended to be attached to the markings.

The submission of these documents is not intended to be deemed an admission that they constitute analogous art.

### **CERTIFICATION**

Applicant(s) certify that:

(1) Each item or reference was cited in a communication from a foreign patent office in a corresponding foreign application not more than three months prior to the filing date of the Statement; or

	(2)	No item or reference was cited in a communication from a foreign patent office in a
		corresponding foreign application or, to the knowledge of the Applicant(s), was not
		known more than three months prior to the filing of the Statement.
Respe	ctfully s	ubmitted,
Charl	es A. E.	•

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October 7, 2004

Date

ransmittal (1p), PTO Form SB/08A(1p), Information Disclosure statement (3pp), Copies of cited references (493 pp), Return Postcard.
Heather 1- 2004 Signature
Heather J. Roth

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Typed or printed name of person signing Certificate

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